

# FORMAL POWER SERIES AND GENERATING FUNCTIONS

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## FORMAL POWER SERIES

A formal power series is an expression of the form

$$f(x) = a_0 + a_1x + a_2x^2 + \cdots = \sum_{n=0}^{\infty} a_n x^n$$

A special case of a formal power series is a polynomial.

Note that it is not a function: in general, we can't substitute a numerical value for  $x$ , as it would give an infinite sum. However, we can still perfectly well add and multiply such formal series.

For example,

$$\begin{aligned}(1-x)(1+x+x^2+x^3+\dots) &= 1 \\ (1+x+x^2+x^3+\dots)^2 &= 1+2x+3x^2+\dots\end{aligned}$$

Because of this, we will frequently write  $\frac{1}{1-x}$  meaning the power series  $1+x+x^2+\dots$

## GENERATING FUNCTION

Let  $a_n$ ,  $n = 0, 1, \dots$  be a sequence. The *generating function* for this sequence is the formal power series

$$F(x) = a_0 + a_1x + a_2x^2 + \dots$$

It turns out that in many cases, it is easier to find the generating function than the formula for the terms of the sequence — and of course, knowing the generating function determines the sequence.

## PROBLEMS

1. For every non-empty subset  $S \subset \{1, \dots, n\}$ , denote by  $p(S)$  the product of inverses of elements of  $S$ . What is the sum of  $p(S)$  over all such subsets  $S$ ?
2. Find the product of the following formal power series:

$$(1+x+x^2+x^3+\dots)(1-x+x^2-x^3+\dots)$$

3. Compute the generating function of the following sequences:
  - (a)  $a_n = {}_{m+n}C_m$  (where  $m$  is some fixed number).
  - (b)  $a_n = {}_nC_m$
4. (This problem is for people familiar with the notion of derivative.)  
Prove that for a formal power series  $f(x) = \sum a_n x^n$ , we have

$$a_n = \frac{f^{(n)}(0)}{n!}$$

5. Let  $a_n$  be the number of solutions of equation  $x_1 + x_2 + \cdots + x_k = n$  (all  $x_i$  must be non-negative integers). Let  $F(x)$  be the generating function for this sequence.
  - (a) Prove

$$F(x) = (1+x+x^2+\dots)^k = \frac{1}{(1-x)^k}.$$

(b) If you have done the previous problem, use it to find a formula for  $a_n$ .

6. Let  $F(x) = F_0 + F_1x + F_2x^2 + \dots$  be the generating series for the Fibonacci numbers:  $F_0 = 0, F_1 = 1, F_{k+1} = F_k + F_{k-1}$ . Prove that

$$F(x) = \frac{x}{1-x-x^2} = \frac{1}{\sqrt{5}} \left( \frac{1}{1-\Phi x} - \frac{1}{1-\bar{\Phi}x} \right)$$

where

$$\Phi = \frac{1 + \sqrt{5}}{2}, \quad \bar{\Phi} = \frac{1 - \sqrt{5}}{2}$$

and use it to find an explicit formula for  $F_n$ .

[Hint: can you prove that  $F(x) = (x + x^2)F(x)$ ?]

7. Let  $d(n)$  be the number of ways to write  $n$  as a sum of **distinct** non-negative integers, and  $l(n)$  – the number of ways to write  $n$  as a sum of **odd** non-negative integers. (In both cases, order of summands doesn't matter). By definition, we let  $d(0) = l(0) = 1$ .

Prove:

- (a)  $d(0) + d(1)x + d(2)x^2 + \dots = (1 + x)(1 + x^2)(1 + x^3) \dots$
- (b)  $l(0) + l(1)x + l(2)x^2 + \dots = (1 - x)^{-1}(1x^3)^{-1}(1x^5)^{-1} \dots$
- (c)  $l(n) = d(n)$ .